

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
12 February 2004 (12.02.2004)

PCT

(10) International Publication Number  
**WO 2004/013043 A1**

- (51) International Patent Classification<sup>7</sup>: **C01B 31/02**
- (21) International Application Number:  
PCT/EP2003/008350
- (22) International Filing Date: 29 July 2003 (29.07.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
MI02A001737 1 August 2002 (01.08.2002) IT
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- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declaration under Rule 4.17:**

- of inventorship (Rule 4.17(iv)) for US only

**Published:**

- with international search report  
— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 2004/013043 A1

- (54) Title: PURIFICATION PROCESS OF CARBON NANOTUBES

(57) **Abstract:** The present invention describes a new method for the purification of carbon nanotubes from contaminants deriving from industrial production, constituted by amorphous or carbon crystalline material and metals used for catalysis. The method provides for their organic furretionalization obtaining dirivatized nanotubes, precipitation of functionalized nanotubes and subsequent regeneration of non-functionalized nanotubes by heat treatment.

## PURIFICATION PROCESS OF CARBON NANOTUBES

### Field of the invention

The present invention relates to a new method for the purification of carbon nanotubes through organic functionalization, precipitation and heat treatment and

5 to the functionalized nanotubes.

### State of the art

Carbon nanotubes (NT) are carbon materials that are currently fabricated from graphite through procedures generally known as electric arc discharge, HIPCO (High-Pressure CO), laser-desorption, laser-ablation, plasma (PVD) or chemical

10 vapor deposition (CVD). Following these treatments the initial material adopts a highly orderly structure constituted by one wall (single-walled nanotubes SWNT) or several walls (multiple-walled nanotubes MWNT) with miniaturized cylindrical shape varying in diameter and length according to the type of treatment, in which the carbon atoms combined together form a prevalently hexagonal honeycomb

15 pattern. This particular arrangement provides these materials with unexpected and interesting chemico-physical and mechanical properties making them an extremely important material from the applicative aspect. The carbon nanotubes are in fact highly resistant to voltage which determines important electronic, optical and mechanical properties for the use of these materials in many fields of application

20 as metal conductors or semi-conductors, insulating materials or materials with high mechanical strengths. They can thus be used in electronic and opto-electronic equipment (electrical and electronic microcircuits, diodes, transistors, sensors, field emission displays, vacuum fluorescent displays or sources of white light), and also polymeric compound materials with high electrical, thermal and mechanical

25 strength. However, all the procedures generally used for fabrication provide a raw product with a great number of impurities, differing according to the method used, which include inert carbon particles, amorphous carbon, fullerenes and catalysis metals. These contaminants constitute serious limits both to their study and to their many uses. Various approaches have been taken to purify them, essentially

30 based on: oxidization processes with acids or mixtures of acids (nitric and/or sulphuric, and/or hydrochloric acid) both in solution and gaseous, filtration, separation by centrifugation or chromatography. Whatever the approach used for

purification, the first problem to be overcome is the question of their insolubility. For this aspect the initial material, before actual purification, is subjected in many cases to drastic dispersion processes in solvents or in water, such as pulverization, sonication or ultrasonication with or without surfactants. In any case 5 these are purification processes that require several phases, even at high temperatures, in which the oxidizing treatment(s) with acids are essential and preferred to eliminate both metal and carbon contaminants. However, in this way the nanotubes are also impaired, destroying a part of them and introducing numerous structural defects with considerable influences on their chemico-10 physical and mechanical properties.

Chiang I.W. et al. (J. Phys. Chem. B 2001, 105, 8297-8301) describes a SWNT purification method through a high temperature oxidization process, obtaining a yield of about 30% and a high degree of purity. Nonetheless, this purification method, in addition to obtaining low yield rates, has the disadvantage of also 15 oxidizing the NT, with consequent modifications in their molecular structure.

Therefore, as it is currently impossible to purify the nanotubes with a high degree of yield and purity at industrial level in a manner that safeguards them, they are usually marketed as they are produced.

In view of the fact that however they are fabricated, nanotubes are extremely 20 costly materials and that for some industrial uses unshortened nanotubes without structural defects are preferred, the object of the present invention is to establish a purification method industrially applicable to the raw material, which has a good yield rate both as regards quantity and quality and which does not impair the structure of the nanotubes.

## 25 **Summary of the invention**

A new, non-destructive purification process for industrially produced nanotubes (pristine nanotubes p-NT), both SWNT and MWNT, has now been found, and is the object of the present invention, characterized by at least the following steps:

- solubilization of the nanotubes (p-NT) through their organic functionalization 30 in which the functionalization reaction is obtained on the nanotubes with 1,3-dipolar reaction of azomethine ylides and separation from metal contaminants,

- purification from carbon contaminants of functionalized nanotubes (f-NT) obtained in the previous step by precipitation with solvents from their organic solutions,
- heat treatment of functionalized nanotubes obtained in the previous step to regenerate the initial non-functionalized carbon nanotubes free of metal and carbon contaminants.

5 A further object of the invention relates to new functionalized nanotube obtained with the 1,3-dipolar reaction of functionalization azomethine ylides according to the first step.

10 **Brief description of the drawings**

- FIGURE 1: Transmission electron microscopy (TEM) images of pristine single - walled nanotube (p-SWNT);
- FIGURE 2: Transmission electron microscopy (TEM) image of functionalized single - walled nanotube (f-SWNT);
- 15 FIGURE 3: Transmission electron microscopy (TEM) images of de-functionalized single - walled nanotube at the end of purification process.

**Detailed description of the invention**

Solubilization of nanotubes, both SWNT and MWNT, through functionalization has been described by inventors starting out with materials prepared and purified 20 according to conventional procedures (Georgakilas G. et. al. J. Am. Chem. Soc. 2002, 124, 760-761).

To attain the object of the present invention, the purification process involved in the present invention is characterized by the following phases:

- solubilization through organic functionalization of nanotubes as produced 25 industrially (pristine nanotubes p-NT) through 1,3-dipolar reaction of azomethine ylides and separation from metal contaminants,
- purification of functionalized nanotubes (f-NT) by precipitation with solvents from organic solutions of functionalized nanotubes,
- heat treatment of functionalized nanotubes (f-NT) to regenerate the initial 30 non-functionalized nanotubes.

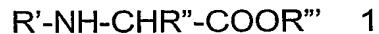
When establishing the purification process described it was unexpectedly discovered that the functionalization reaction of the nanotubes can also be

obtained when the initial material is a raw material containing metal contaminants deriving from industrial production and that each of the phases required contributes towards purification of the initial raw product, but that only through a combination of these is a final product with a high level of purity obtained.

5 In detail, the purification method established is as described hereunder.

The industrial nanotubes (p-NT), represented by raw material produced according to any one of the production processes mentioned hereinbefore, are functionalized through a 1,3-dipolar reaction which involves the p-nanotubes and the following components:

10 a) compounds with a general formula 1



where R', R'' and R''' equal to or different from one another may be:

H or alkyl groups with the formula  $C_nH_{2n+1}$  with n between 1 and 20, or an aromatic group, or limited to R', R''' an ether group with the formula  $(CH_2CH_2O)_n$  with n

15 between 1 and 20 and

b) compounds with a general formula 2



where R''' may be H or an alkyl group with the formula  $C_nH_{2n+1}$  with n between 1 and 20, or an ether group with the formula  $(CH_2CH_2O)_n$  with n between 1 and 20,

20 or an aromatic group.

The functionalization reaction is conducted in a dipolar aprotic solvent chosen from the group constituted by dimethylformamide (DMF), dimethylsulphoxide, sulpholane, orthodichlorobenzene with reagents in excess and temperature over 100°C for at least 24 hours.

25 Any functionalized nanotubes obtained through the 1,3-dipolar reaction of azomethine ylides, being soluble, can be used in the purification process of p-nanotubes. Furthermore, respect to the paper cited (Georgakilas G. et. al.. 2002, ref.cit.),the functionalized nanotubes obtained through the reaction above mentioned are also new except when R', R'', R''' and R'''' are equal to one another and equal to H; R' is equal to an ether group with the formula - $(CH_2CH_2O)_3-CH_3$  and R'', R''' and R'''' are equal to one another and equal to H; R' is equal to an alkyl group with the formula - $CH_2(CH_2)_6CH_3$  and R'', R''' and R'''' are

equal to one another and equal to H; R' is equal to an ether group with the formula -(CH<sub>2</sub>CH<sub>2</sub>O)<sub>3</sub>-CH<sub>3</sub>, R'', R''' are equal to each other and equal to H and R'''' is equal to -phenyl-OCH<sub>3</sub>; R' is equal to an ether group with the formula -(CH<sub>2</sub>CH<sub>2</sub>O)<sub>3</sub>-CH<sub>3</sub>, R'', R''' are equal to each other and equal to H and R'''' is equal to a pyrene group.

5 Purely for non-limiting explanatory purposes of the present invention, a typical process for functionalization of commercial nanotubes is described hereunder.

Example 1: organic functionalization reaction

100 mg of SWNT carbon nanotubes are suspended in 300 ml of dimethylformamide (DMF). The mixture is heated to 140°C and a solution of N-octylglycine ethyl ester in DMF (500 mg in 10 ml) is added in portions of 2 ml every 10 24 hours with 500 mg of paraformaldehyde each time. Lastly, after 50 hours, 500 mg of N-octylglycine ethyl ester and 2.5 g of aldehyde are added to the initial suspension. The mixture is heated for a further 72 hours.

15 Example 2: organic functionalization reaction

100 mg of SWNT carbon nanotubes are suspended in 300 ml of dimethylformamide (DMF). The mixture is heated to 140°C and a solution of N-methylglycine in DMF (500 mg in 10 ml) is added in portions of 2 ml every 24 hours with 500 mg of n-heptaldehyde each time. Lastly, after 50 hours, 500 mg of 20 N-methylglycine and 2.5 g of aldehyde are added to the initial suspension. The mixture is heated for a further 72 hours.

In both examples the organic phase is separated by filtration on paper under vacuum and the solution is transferred to a rotary evaporator where the DMF is removed quantitatively. The remaining brown oily residue is diluted in 200 ml of 25 dichloromethane and washed with water (5x200 ml). The organic phase is dried over Na<sub>2</sub>SO<sub>4</sub> and after being filtered (to remove the Na<sub>2</sub>SO<sub>4</sub>) the solution is concentrated under vacuum. The residue is dissolved in 2 ml of dichloromethane and subsequently 10 ml of methanol is added to the mixture. The solid part that surfaces after adding the methanol is separated by centrifugation or filtering and 30 washed repeatedly with methanol, until the alcohol phase no longer colours.

The products resulting from this reaction (functionalized nanotubes f-NT) have a high level of solubility in organic solvents, in which the metal particles present

remain insoluble and are therefore eliminated with conventional mechanical means such as filtration under vacuum, centrifugation, etc.. The organic phase containing the f-NT is concentrated to eliminate the reaction solvent and the residue is dissolved in polar or apolar solvents chosen from the group constituted by dichloromethane, chloroform, toluene, washed several times with water. The organic phase is then dried and concentrated after removing the drying agent.

The functionalized nanotubes (f-NT) again solubilized in polar or apolar solvents chosen from the group constituted by dichloromethane, chloroform, toluene are then precipitated with one or more treatments with polar or apolar solvents chosen from the group constituted by diethylether, petroleum ether, alkanes, alcohols, separated with mechanical means (for example centrifugation or filtration) and washed once or several times with the same solvent used for precipitation.

The precipitated nanotubes (f-NT) obtained are again dissolved with organic solvents chosen from the group constituted by chloroform and methylene chloride.

The subsequent precipitation phase, as described hereinbefore, is performed with polar or apolar organic solvents chosen from the group constituted by diethylether, petroleum ether, alkanes, alcohols, separated with mechanical means (for example centrifugation or filtration). In this phase the contaminants constituted by amorphous carbon materials remaining in solution are eliminated and therefore precipitations with solvents may be one or several according to the degree of purity to be obtained. The precipitation phase can be repeated several times on the nanotubes remaining in the solution until no precipitates are obtained.

The purified f-NT deriving from the precipitation phase are then defunctionalized by dry heating in an atmosphere with air, or inert gases, such as nitrogen or argon, or preferably under vacuum, at temperatures ranging from 250° to 350°C for times between 1 minute and one hour. The initial nanotubes, without the contaminants from industrial production, are thus obtained after heat treatment with mechanical means chosen from centrifugation and filtration of suspensions obtained by sonication of these in polar or apolar solvents chosen from the group constituted by dichloromethane, chloroform, toluene.

Purely for non-limiting explanatory purposes of the present invention, a typical purification process performed on functionalized nanotubes is described hereunder.

Example 3: purification of functionalized nanotubes

- 5    80 mg of functionalized nanotubes according to example 1 and obtained using N-(CH<sub>2</sub>CH<sub>2</sub>OCH<sub>2</sub>CH<sub>2</sub>OCH<sub>2</sub>CH<sub>2</sub>OCH<sub>3</sub>)-glycine with paraformaldehyde are dissolved in 300 ml of CHCl<sub>3</sub>. In this way a transparent brown solution is obtained. Diethylether is added in drops to the solution under stirring at ambient temperature. This is added until a precipitate appears. The solution is filtered and  
10   diethylether is once again added. The precipitate is recovered from the paper filter dissolving it in CH<sub>2</sub>Cl<sub>2</sub>. After the CH<sub>2</sub>Cl<sub>2</sub> has evaporated, the solid material is washed with diethylether, centrifuged and dried under vacuum. This procedure is repeated three times; three precipitates (P1, P2 e P3) are obtained. Moreover, after evaporation of the chloroform, the material that had not yet precipitated (S)  
15   is also obtained in solid state.

The precipitates P1, P2 and P3 appear respectively after the addition of 480, 300 and 300 ml of diethylether, each of these precipitates is equal to about 10 mg; S is equal to 50 mg with a total yield of 100%.

The purity of each of these fractions is determined by TEM analysis.

20   Example 4: defunctionalization and regeneration of nanotubes.

- 2 mg of functionalized nanotubes are placed in a metal (aluminium) capsule and heated to 300°C under a flow of nitrogen for 30 minutes. The capsule is transferred to a conical flask containing 20 ml of dichloromethane, where the content of the capsule is freed in suspension in the organic phase through 10-20  
25   sec of sonication in a normal ultrasonic bath. The solid product is separated by centrifugation or filtering, washed with 10 ml of chloroform and dried under vacuum for 2 hours. At the end of this step 1.4 mg of purified nanotubes have been obtained with a yield of 100%.

The results obtained with the process described are set down hereunder.

- 30   Fig.1 shows transmission electron microscopy (TEM) of the nanotubes (p-SWNT) before functionalization and precipitation containing high quantities of metal

particles and carbon materials and fig. 2 those obtained after functionalization (f-SWNT) with no traces of metal particles and with no carbon materials.

Fig. 3 shows the TEM photographs obtained on the nanotubes after precipitation with solvents and heat treatment.

5 Moreover, it must be pointed out that the initial nanotubes (p-SWNT) and those obtained at the end of the purification process after heat treatment (r-SWNT) were subjected to UV-Vis-NIR and IR-Raman analysis. The results show that the two types of nanotubes have the same electronic behaviour, confirming that the purification process has not caused any structural damage to the nanotubes thus purified.

10 Substantially, by adopting the purification process described, the same results are obtained starting out with p-SWNT and with the p-MWNT type. Without departing from the scope of the invention it is possible for those skilled in the art to make all the modifications and improvements to the process described in and the object of  
15 the present invention suggested by normal experience and development in the technique.

**CLAIMS**

1. Purification process of nanotubes characterized in that it comprises at least the following steps.

- solubilization of the nanotubes (p-NT) through their organic functionalization in which the functionalization reaction is obtained on the nanotubes with 1,3-dipolar reaction of azomethine ylides and separation from metal contaminants,
- purification from carbon contaminants of functionalized nanotubes (f-NT) obtained in the previous step by precipitation with solvents from their organic solutions,
- heat treatment of functionalized nanotubes obtained in the previous step to regenerate the initial non-functionalized carbon nanotubes free from traces of metal and carbon contaminants.

2. Purification process of nanotubes as claimed in claim 1 wherein the nanotubes are functionalized through a 1,3-dipolar reaction with:

- 15 a) compounds with a general formula 1



where R', R'' and R''' equal to or different from one another may be H or alkyl groups with the formula  $\text{C}_n\text{H}_{2n+1}$  with n between 1 and 20, or an aromatic group, or limited to R', R''' an ether group with the formula  $(\text{CH}_2\text{CH}_2\text{O})_n$  with n between 1 and 20 and

- 20 b) compounds with a general formula 2



where R''' may be H or an alkyl group with the formula  $\text{C}_n\text{H}_{2n+1}$  with n between 1 and 20, or an ether group with the formula  $(\text{CH}_2\text{CH}_2\text{O})_n$  with n between 1 and 20, or an aromatic group.

25 3. Purification process of nanotubes as claimed in claim 2 wherein the 1,3-dipolar reaction is conducted in dipolar aprotic solvent chosen from the group constituted by dimethylformamide (DMF), dimethylsulphoxide, sulpholane, orthodichlorobenzene with reagents in excess and temperature over 100°C for at least 24 hours.

30 4. Purification process of nanotubes as claimed in claim 1 wherein the separation from metal contaminants is obtained with mechanical means chosen

from filtration and centrifugation of the organic solution deriving from the functionalization reaction of raw nanotubes.

5. Purification process of nanotubes as claimed in claim 1 wherein purification from carbon contaminants is obtained starting out with an organic solution

5 containing functionalized nanotubes in polar or apolar solvents, chosen from the group constituted by methylene chloride and chloroform, by precipitation with one or more treatments with polar or apolar solvents, chosen from the group constituted by diethylether, petroleum ether, alkanes, alcohols and separation with mechanical means chosen from centrifugation and filtration.

10 6. Purification process of nanotubes as claimed in claim 1 wherein the initial nanotubes are obtained by dry heating the functionalized nanotubes in an atmosphere with air or inert gases at temperatures ranging from 250° to 350°C for times between 1 minute and one hour.

7. Purification process of nanotubes as claimed in claim 6 wherein the heat 15 treatment is performed under vacuum.

8. Purification process of nanotubes as claimed in claim 6 wherein the initial nanotubes defunctionalized by heat treatment are separated with mechanical means chosen from centrifugation and filtration of their suspensions obtained by sonication in polar or apolar organic solvents chosen from the group constituted by 20 dichloromethane, chloroform, toluene.

9. Functionalized nanotubes obtained with 1,3-dipolar reaction with the nanotubes and:

a) compounds with a general formula 1



25 where R', R'' and R''' equal to or different from one another may be H or alkyl groups with the formula  $\text{C}_n\text{H}_{2n+1}$  with n between 1 and 20, or an aromatic group, or limited to R', R''' an ether group with the formula  $(\text{CH}_2\text{CH}_2\text{O})_n$  with n between 1 and 20 and

b) compounds with a general formula 2



where R<sup>'''</sup> may be H or an alkyl group with the formula C<sub>n</sub>H<sub>2n+1</sub> with n between 1 and 20, or an ether group with the formula (CH<sub>2</sub>CH<sub>2</sub>O)<sub>n</sub> with n between 1 and 20, or an aromatic group

except for compounds in which: R', R'', R''' and R<sup>'''</sup> are equal to one another and

- 5 R' is equal to H; R' is equal to an ether group with the formula -(CH<sub>2</sub>CH<sub>2</sub>O)<sub>3</sub>-CH<sub>3</sub> and R'', R''' and R<sup>'''</sup> are equal to one another and equal to H; R' is equal to an alkyl group with the formula -CH<sub>2</sub>(CH<sub>2</sub>)<sub>6</sub>CH<sub>3</sub> and R'', R''' and R<sup>'''</sup> are equal to one another and equal to H; R' is equal to an ether group with the formula -(CH<sub>2</sub>CH<sub>2</sub>O)<sub>3</sub>-CH<sub>3</sub>, R'', R''' are equal to each other and equal to H and R<sup>'''</sup> is 10 equal to -phenyl-OCH<sub>3</sub>; R' is equal to an ether group with the formula -(CH<sub>2</sub>CH<sub>2</sub>O)<sub>3</sub>-CH<sub>3</sub>, R'', R''' are equal to each other and equal to H and R<sup>'''</sup> is equal to a pyrene group.

10. Functionalized nanotubes obtained with 1,3-dipolar reaction as claimed 9 in which said reaction is conducted in a dipolar aprotic solvent chosen from the group 15 constituted by dimethylformamide (DMF), dimethylsulphoxide, sulpholane, orthodichlorobenzene with reagents in excess and temperature over 100°C for at least 24 hours.



FIG. 1

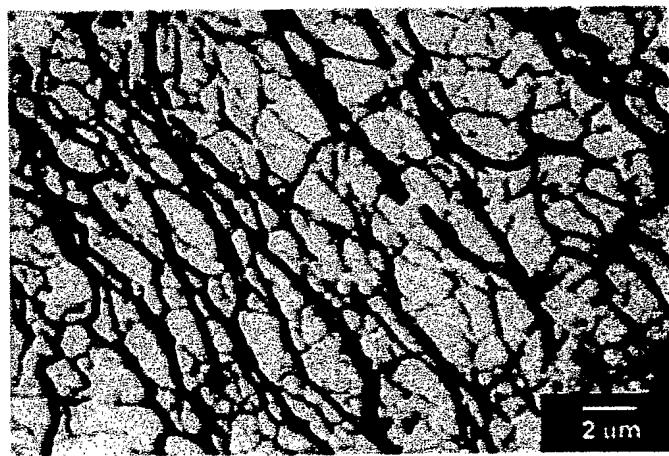


FIG. 2

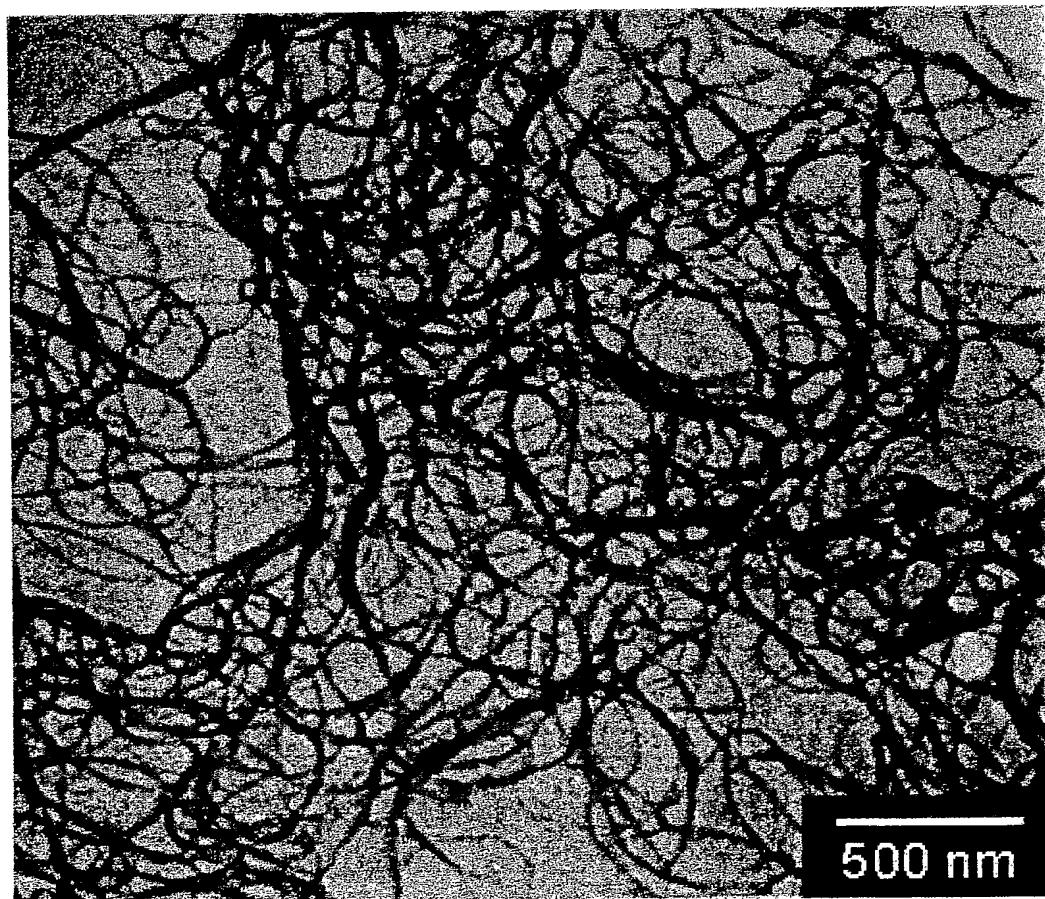


FIG. 3

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 03/08350

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C01B31/02

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C01B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

INSPEC, EPO-Internal, WPI Data, COMPENDEX

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, P	GEORGAKILAS ET AL: "Purification of HiPCO carbon nanotubes via organic functionalization" J. AM. CHEM. SOC., vol. 124, no. 48, 4 December 2002 (2002-12-04), pages 14318-14319, XP002262086 the whole document --- -/-	1-10

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search  24 November 2003	Date of mailing of the international search report  14/01/2004
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Marucci, A

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 03/08350

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, P	GEORGAKILAS V ET AL: "Organic functionalized carbon nanotubes" STRUCTURAL AND ELECTRONIC PROPERTIES OF MOLECULAR NANOSTRUCTURES. 16TH INTERNATIONAL WINTERSCHOOL ON ELECTRONIC PROPERTIES OF NOVEL MATERIALS, KIRCHBERG, AUSTRIA, 2-9 MARCH 2002, no. 633, - October 2002 (2002-10) pages 73-76, XP002262087 AIP Conference Proceedings, 2002, AIP, USA ISSN: 0094-243X page 4, line 1-5 ---	1-10
X	DATABASE INSPEC 'Online! INSTITUTE OF ELECTRICAL ENGINEERS, STEVENAGE, GB; GEORGAKILAS V ET AL: "Organic functionalized carbon nanotubes" Database accession no. 7615172 XP002262090 abstract & STRUCTURAL AND ELECTRONIC PROPERTIES OF MOLECULAR NANOSTRUCTURES. 16TH INTERNATIONAL WINTERSCHOOL ON ELECTRONIC PROPERTIES OF NOVEL MATERIALS, KIRCHBERG, AUSTRIA, 2-9 MARCH 2002, no. 633, pages 73-76, AIP Conference Proceedings, 2002, AIP, USA ISSN: 0094-243X ---	9,10
X	GEORGAKILAS V. ET AL: "Organic Functionalization of Carbon Nanotubes" J. AM. CHEM. SOC., vol. 124, no. 5, 6 February 2002 (2002-02-06), pages 760-761, XP002262088 the whole document ---	1-10
A	BANDOW S ET AL: "Purification and magnetic properties of carbon nanotubes" APPLIED PHYSICS A. MATERIALS SCIENCE AND PROCESSING, SPRINGER VERLAG, BERLIN, DE, vol. 67, 1998, pages 23-27, XP002965869 ISSN: 0947-8396 "Purification" ---	1,4,5,8
A	BOUL P. J. ET AL: "Reversible sidewall functionalisation of buckytubes" CHEM. PHYS. LETT., vol. 310, 3 September 1999 (1999-09-03), pages 367-372, XP002262089 "Experimental" ---	6,7 -/-

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 03/08350

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	BONARD J-M ET AL: "PURIFICATION AND SIZE-SELECTION OF CARBON NANOTUBES" ADVANCED MATERIALS, VCH VERLAGSGESELLSCHAFT, WEINHEIM, DE, vol. 9, no. 10, 8 August 1997 (1997-08-08), pages 827-831, XP000695464 ISSN: 0935-9648 the whole document -----	4,5,8

**INTERNATIONAL SEARCH REPORT**International application No.  
PCT/EP 03/08350**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: 1-10 (partially)  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-10 (partially)

Present claims 1-8 and 9-10 relate to an extremely large number of possible methods and products, respectively, as the nature of the nanotubes used in claim 1 and disclosed as a product in claim 9 is not disclosed. Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, only for one kind of nanotubes. Therefore, the claims lack support, and the application lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out only for those kind of nanotubes which appear to be supported and disclosed, namely for those made of carbon, as disclosed in the description in page 1, lines 3-5 and in the examples.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.